



**“ SEM YOUNG INVESTOR AWARD 2017”  
EXAMPLE OF A PARTIAL SWAP**

**Initial Portfolio**

Shares Held	No of shares held
A	1000
B	400
C	1000
D	100
E	125

**STEP 1(Filled By Participant)**

Date of swap: 03 May 2017

Date received by SEM: 04 May 2017

**SALE OF SHARES**

*Note: Do not fill the last 2 columns.*

Shares Sold	No of shares sold	Next Quoted Price of share sold	Proceeds of sale
A	500		

**PURCHASE OF SHARES**

*Note: Do not fill the last 3 columns.*

Shares Purchased	%	Value of shares purchased	Next Quoted Price of shares purchased	No of shares purchased
C	60			
E	40			
Total : Rs				

**STEP 2(Filled By SEM)**

**SALE OF SHARES**

04 May 2017

Shares Sold	No of shares sold	Next Quoted Price of share sold (Rs)	Proceeds of sale (Rs)
A	500	10.00	5,000

**PURCHASE OF SHARES**

04 May 2017

Shares Purchased	%	Value of shares purchased (Rs)	Next Quoted Price Of shares purchased (Rs)	No of Shares Purchased
C	60	3,000	30.00	100
E	40	2,000	200.00	10
Total: Rs		5,000		

**Updated Portfolio on 04 May 2017 after partial swap**

Shares Held	No of shares held
A	1000-500=500
B	400
C	1000+100=1100
D	100
E	125+10=135



**“ SEM YOUNG INVESTOR AWARD 2017”  
EXAMPLE OF A COMPLETE SWAP**

**Initial Portfolio**

Shares Held	No of shares held
A	1000
B	400
C	1000
D	100
E	125

**STEP 1 (Filled By Participant)**

Date of swap: 03 May 2017  
Date received by SEM: 04 May 2017

**SALE OF SHARES**

*Note: Do not fill the last 2 columns.*

Shares Sold	No of shares sold	Next Quoted Price of share sold	Proceeds of sale
A	1,000		

**PURCHASE OF SHARES**

Shares Purchased	%	Value of shares purchased	Next Quoted Price of shares purchased	No of shares purchased
K	100			
Total: Rs				

**STEP 2 (Filled By SEM)**

**SALE OF SHARES**

*04 May 2017*

Shares Sold	No of shares sold	Next Quoted Price of share sold	Proceeds of sale
A	1,000	10.00	10,000

**PURCHASE OF SHARES**

*04 May 2017*

Shares Purchased	%	Value of shares purchased	Next Quoted Price Of shares purchased	No of Shares Purchased
K	100	10,000	50.00	200
Total: Rs		10,000		

Shares Held	No of shares held
K	200
B	400
C	1000
D	100
E	125

**Updated Portfolio on 04 May 2017 after complete swap**