



**“ SEM YOUNG INVESTOR AWARD 2018”
EXAMPLE OF A PARTIAL SWAP**

Initial Portfolio

Shares Held	No of shares held
A	1000
B	400
C	1000
D	100
E	125

STEP 1(Filled By Participant)

Date of swap: 03 May 2018

Date received by SEM: 04 May 2018

SALE OF SHARES

Note: Do not fill the last 2 columns.

Shares Sold	No of shares sold	Next Quoted Price of share sold	Proceeds of sale
A	500		

PURCHASE OF SHARES

Note: Do not fill the last 3 columns.

Shares Purchased	%	Value of shares purchased	Next Quoted Price of shares purchased	No of shares purchased
C	60			
E	40			
Total : Rs				

STEP 2(Filled By SEM)

SALE OF SHARES

04 May 2018

Shares Sold	No of shares sold	Next Quoted Price of share sold (Rs)	Proceeds of sale (Rs)
A	500	10.00	5,000

PURCHASE OF SHARES

04 May 2018

Shares Purchased	%	Value of shares purchased (Rs)	Next Quoted Price Of shares purchased (Rs)	No of Shares Purchased
C	60	3,000	30.00	100
E	40	2,000	200.00	10
Total: Rs		5,000		

Updated Portfolio on 04 May 2018 after partial swap

Shares Held	No of shares held
A	1000-500=500
B	400
C	1000+100=1100
D	100
E	125+10=135



**“ SEM YOUNG INVESTOR AWARD 2018”
EXAMPLE OF A COMPLETE SWAP**

Initial Portfolio

Shares Held	No of shares held
A	1000
B	400
C	1000
D	100
E	125

STEP 1 (Filled By Participant)

Date of swap: 03 May 2018
Date received by SEM: 04 May 2018

SALE OF SHARES

Note: Do not fill the last 2 columns.

Shares Sold	No of shares sold	Next Quoted Price of share sold	Proceeds of sale
A	1,000		

PURCHASE OF SHARES

Shares Purchased	%	Value of shares purchased	Next Quoted Price of shares purchased	No of shares purchased
K	100			
Total: Rs				

STEP 2 (Filled By SEM)

SALE OF SHARES

04 May 2018

Shares Sold	No of shares sold	Next Quoted Price of share sold	Proceeds of sale
A	1,000	10.00	10,000

PURCHASE OF SHARES

04 May 2018

Shares Purchased	%	Value of shares purchased	Next Quoted Price Of shares purchased	No of Shares Purchased
K	100	10,000	50.00	200
Total: Rs		10,000		

Updated Portfolio on 04 May 2018 after complete swap

Shares Held	No of shares held
K	200
B	400
C	1000
D	100
E	125